

# How the AT&L Direct Budget System aka **budgeOmatic** and the Executive Information System aka **zoomOrama** fit into OSD management

Presentation outline:

- Scope

- Change process managers

- OSD Change management Processes

- budgeOmatic; zoomOrama**

# **DOD Change** **Management**

- SCOPE-Massive!
  - \$400B Annual Budget (more than a billion/day)
  - \$1,000,000,000+ Value of assets
  - 3.5M employees (WALMART only has 1.5M)
  - OSD Staff (10,000+)
  - Global Deployments
  - Joint Staff
  - Army
  - Navy
  - Air force
  - Defense Agencies
  - Interfaces with other Departments and Governments

# **DOD Change Management Processes**

Managing change is adjusting future outcomes in preferred ways

Managed change happens by deciding to adjust resources and policies to cause desired future conditions

War Fighting CHMN JCS manages War Fighting change management processes

Acquisition USD AT&L manages Acquisition, Technology & Logistics change management processes

Financial USD COMPT manages Planning, Programming, Budgeting, Execution (PPBE) change management processes

Business USD COMPT manages Business Management Modernization Program (BMMP) change management processes

<http://www.dod.mil/comptroller/bmmp/pages/index.html>

# Other Change Processes

- Educating
- Recruiting
- Training
- Deploying
- Applying Functional Capabilities
  - Battlespace Awareness
  - Command and Control
  - Focused Logistics
  - Force Application
  - Force Protection
- Coordination w other departments/Nations
- <http://intranet.acq.osd.mil/documents/goals.html>

# War Fighting Processes

- Analyze strategy, mission
- Gather and analyze intelligence
- Identify strengths & vulnerabilities
- Risk Analyses (anything we can ignore?)
- Plan to reduce risks
  - Doctrine development
  - Training, deployments, attack
  - Acquisition requirements
- Execute plans (Actual or Virtual)
- Review effect of plan execution, develop changes (go to top of page reiterate processes)
- [http://www.jfcom.mil/newslink/storyarchive/2004/s\\_p110304.htm](http://www.jfcom.mil/newslink/storyarchive/2004/s_p110304.htm)

# Acquisition Processes

- Acquisition Process Phases
  - Concept Refinement Phase Milestone A
  - Technology Development Phase Milestone B
  - System Development & Demonstration Phase Milestone C
  - Production and Deployment Phase
- <http://akss.dau.mil/docs/Chart%20front%20final%20ver%205.0%20Nov%202004.pdf>

# PPBE Processes

## **PPBE Process Phases:**

**P**lanning

**P**rogram Objective Memorandum (POM)

**B**udget Estimate Submission (BES)

-Presidential Budget Request (PBR)

Congress-Hearings, House and Senate Authorization, Appropriation, Conference

Acquisition planning (SOW or SOO, contract office selection, source selection..)

**E**xecution-Allocation, Obligation Planning, Commitment, Obligation, Disbursement

<http://akss.dau.mil/docs/Chart%20front%20final%20ver%205.0%20Nov%202004.pdf>

(See bottom of Chart in the Green for PPBE acquisition oversight integration into the acquisition process)

<http://www.afms1.belvoir.army.mil/primers/Primer%20PPBE%2009202004.pdf>

(rather good explanation of the process from a service perspective-ARMY)

# Process Model for AT&L Oversight and Direct Budget

Sub Processes at Two levels of Effect for AT&L

1. DOD wide **oversight** \$**200B** Acquisition Policy, Technology & Logistics

2. AT&L Direct Budget \$**1.8 B**





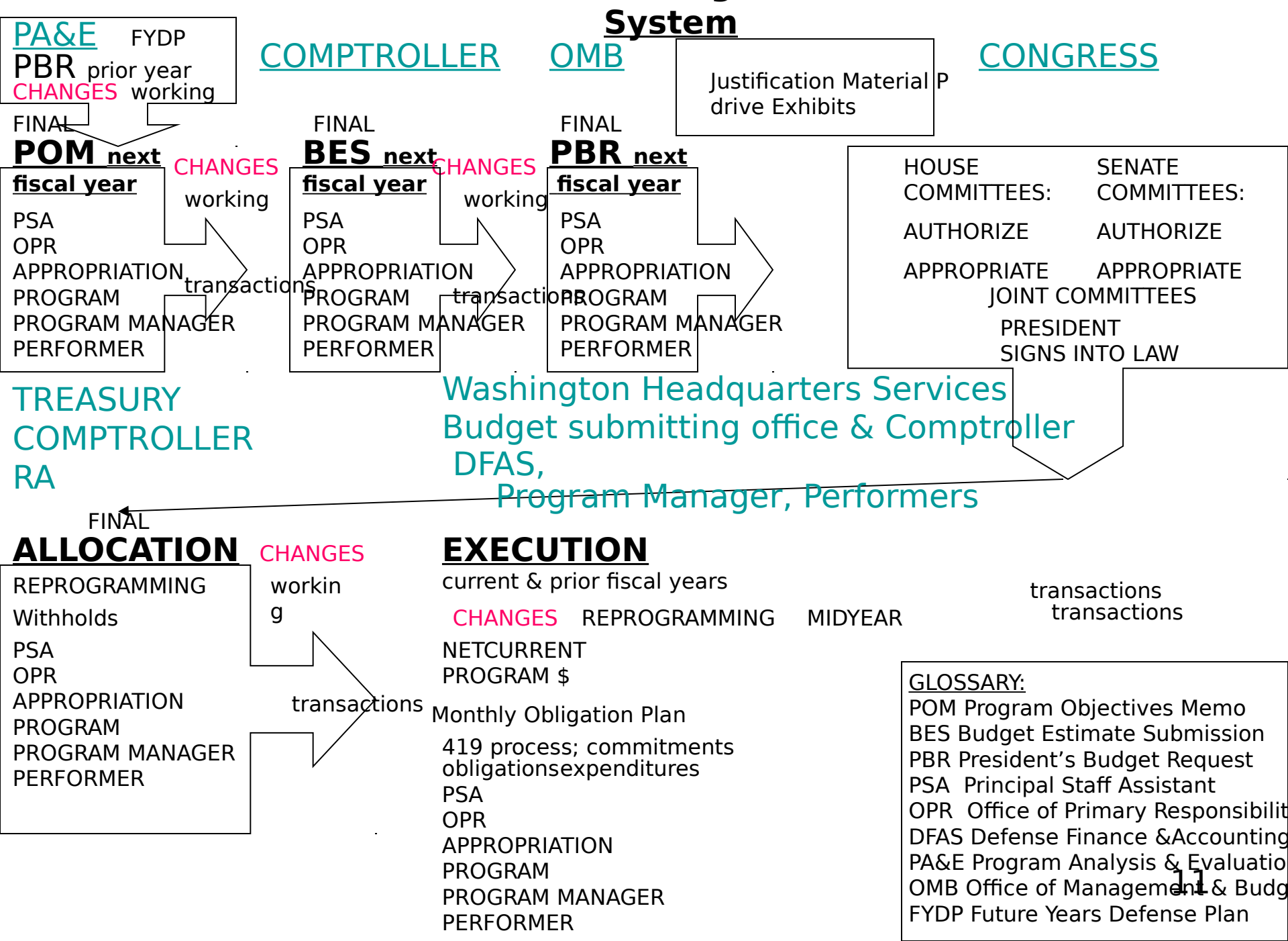
# **Oversight Means Review and Analysis of**

- Program Performance
- Technical
- Test & Evaluation
- Management Staffing PM..
- Legal Compliance
- Acquisition Policy Compliance
- Contracting
- Financial/Accounting proxy for financial performance

# Direct Budget **budgeOmatic** Executive Information System **zoomOrama**

Support management of the resources  
the USD AT&L staff are assigned to  
execute

# Process Model for AT&L Direct Budget and Executive Information



# Process Model for AT&L Direct Budget and Executive

## Information System PPBE

The Players

OSD

PA&E

COMPTROLLER

OMB

CONGRESS

TREASURY

Program Manager,

COMPTROLLER  
AT&L ARA

Washington Headquarters Services

Performers

The Services,  
OSD Field Activities

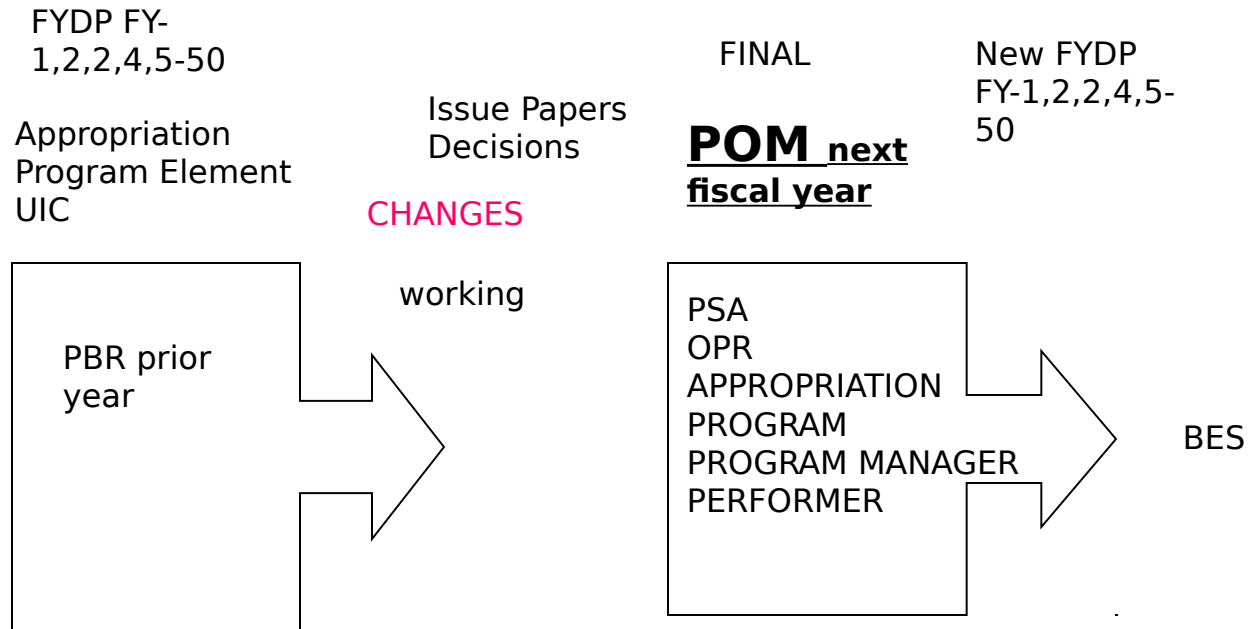
DFAS,

# Process Model for Direct Budget and Executive Information System

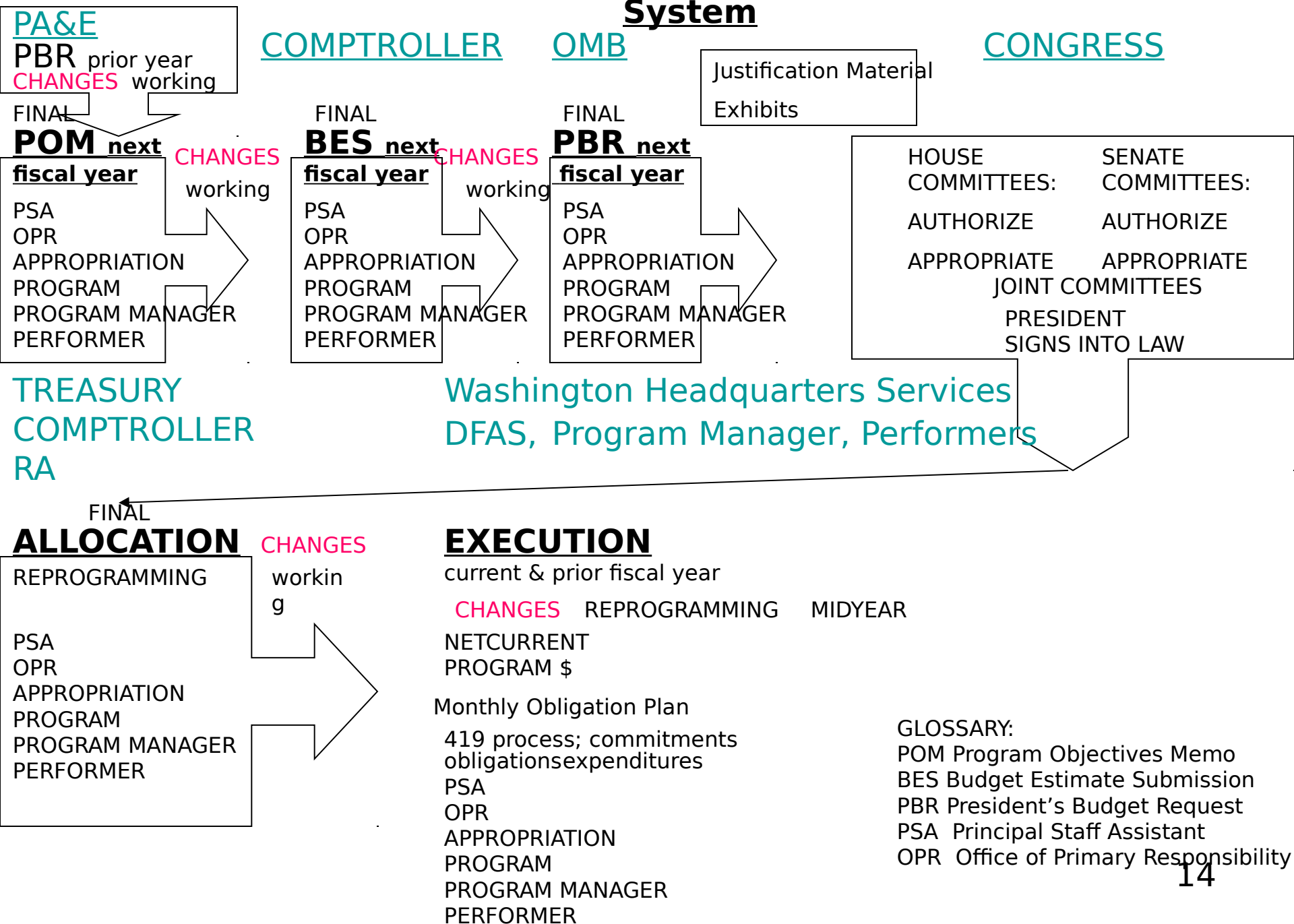
Sources of Issues  
capabilities Threat  
shortfalls

POM PROCESS (April-  
October)

PA&E



# Process Model for AT&L Direct Budget and Executive Information System



# BES Budget Estimate Submission

RA manages AT&L budget control compliance and development of and submission of the budget exhibits and justification material required for the AT&L direct budget programs

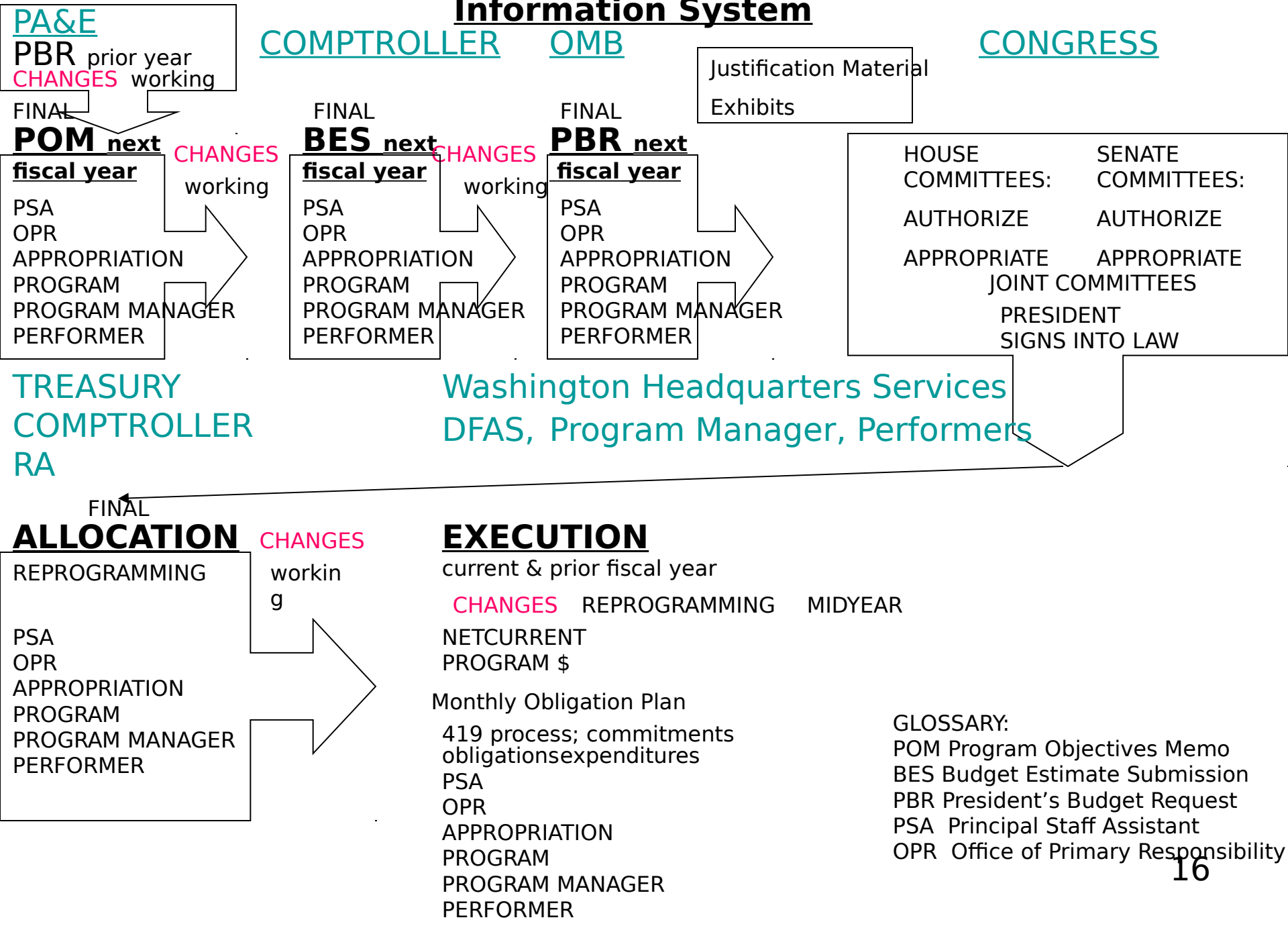
ARA manages AT&L staff participation in oversight of the PBD and PDM processes

RA provides links through the AT&L PPBE library to the justification material related to the prior year PBS.

ARA manages the USD AT&L oversight process for all the services and agency budgets, budget execution and program reviews of selected acquisition programs

# Process Model for Direct Budget and Executive

## Information System



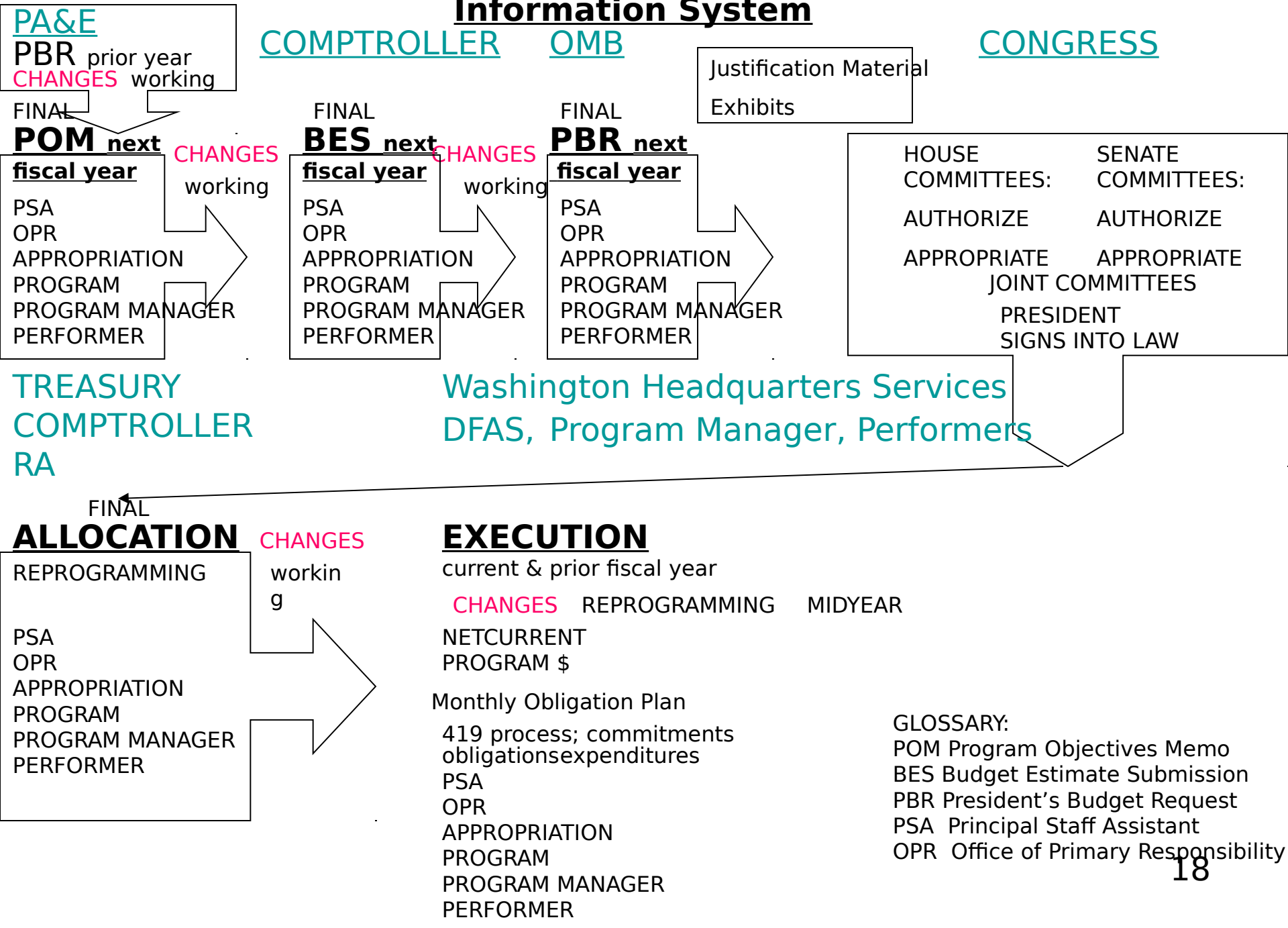


# President's Budget Request

- RA manages AT&L budget control compliance and development of and submittal of the budget exhibits and Justification material required for the AT&L direct budget programs
- ARA manages AT&L staff participation in oversight of the PBD and PDM process
- RA provides links through the AT&L PPBE library to the justification material related to the PBS.
- ARA manages the USD AT&L oversight process for all the services and agency budgets, budget execution and program reviews of selected acquisition programs

# Process Model for Direct Budget and Executive

## Information System



# Congressional Action

After the Presidential Budget Submission PBS AT&L develops presentation to House and Senate armed services and appropriation committees

After hearings as House and Senate committees work up their decisions of services and agencies may be endorsed or originated by AT&L Staff

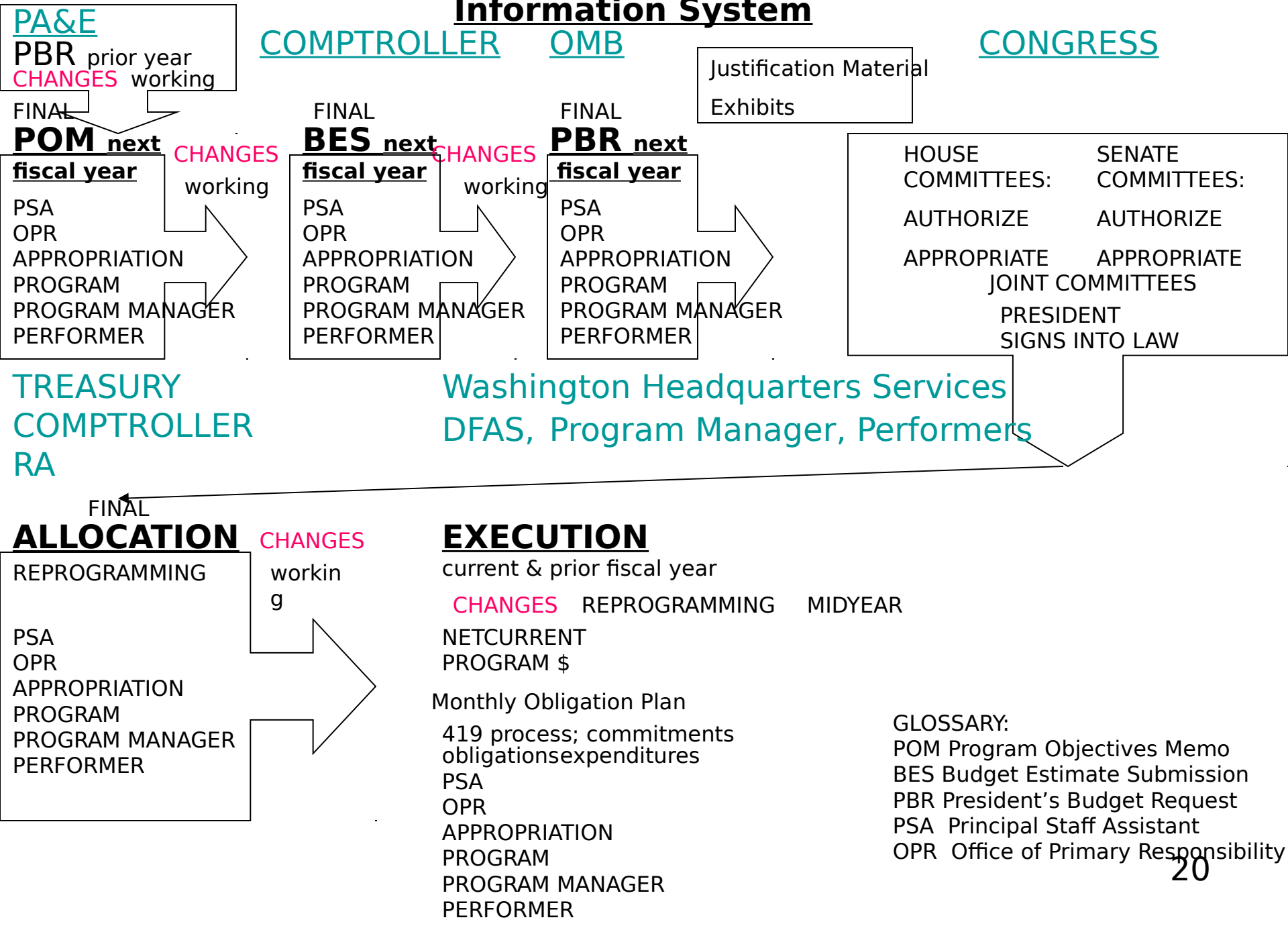
Once conference committee reports are available and authorization and appropriation acts are signed by the president, RA conducts analyses to impact on both oversight items and the direct budget

RA monitors the subsequent allocation process to ensure it conforms to committee actions. RA coordinates reporting requirements with External Customer Support

<http://intranet.acq.osd.mil/ecs/home.html?js>

# Process Model for Direct Budget and Executive

## Information System

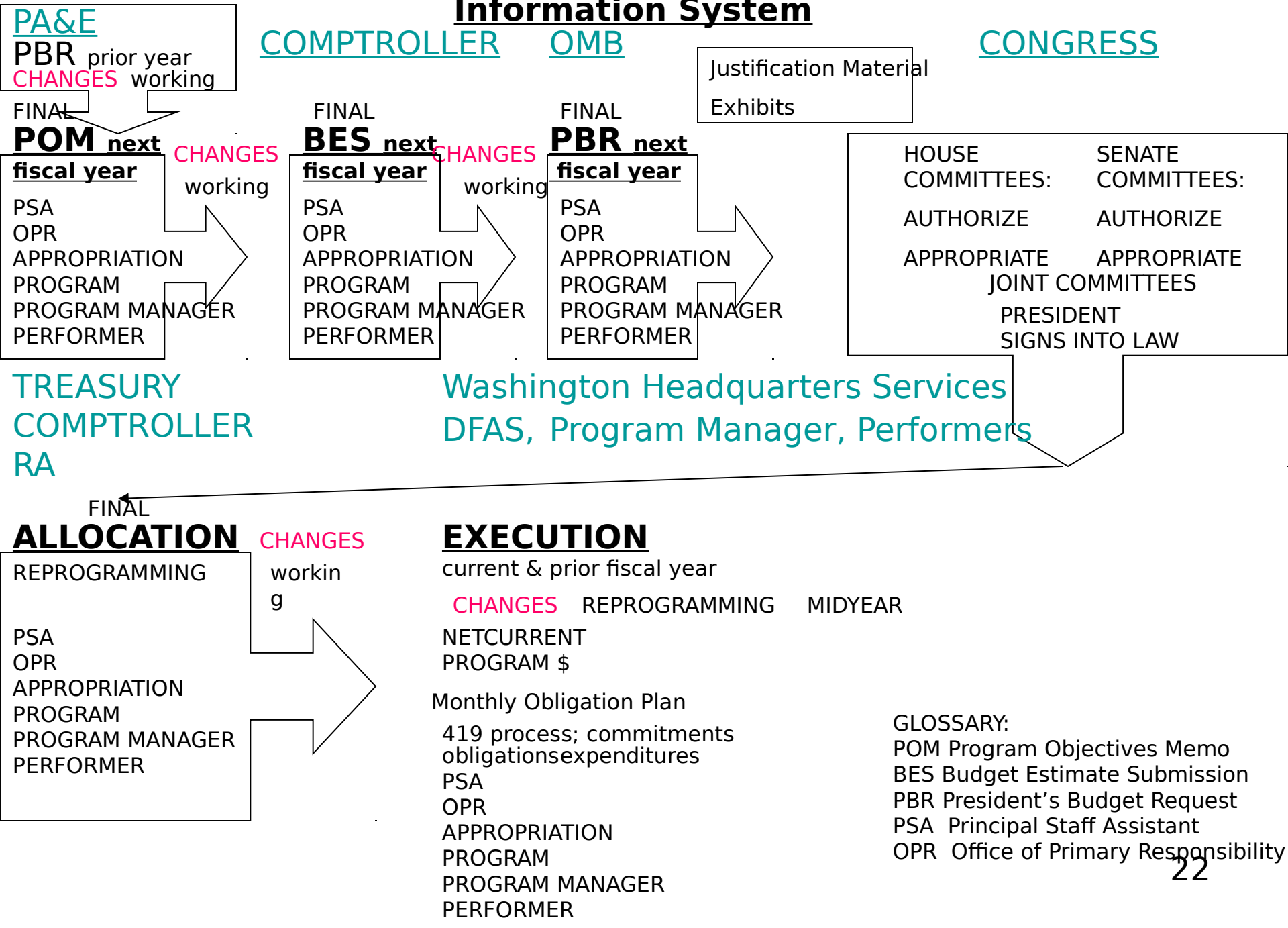


# Allocation Process

- After Appropriation Act is signed by the President, OMB issues allocations to treasury who then warrants to departments who then allocate (440) to services. agencies and AT&L direct budget (WHS) less withholds, plus or minus reprogramming.
- AR then does transactions in the Direct Budget System that reflect the above actions at the program level of detail in the direct budget system

# Process Model for Direct Budget and Executive

## Information System



# Execution

- Links to USD COMPT 440 allocation system
  - And RA gets a hardcopy and keys into direct budget system as “Transactions” same with withholds
  - Provides copies for coordination of the acquisition oversight team
- 419 System moves procurement requests from all of OSD to WHS.
  - Needs tighter integration to preclude over-commitments
  - Feeds WHS approved commitments to AT&L direct budget system
  - WHS feeds hardcopy obligation reports to RA
    - RA keys into direct budget system
  - DFAS provides hard copy 1002 reports
    - RA keys into direct budget system

# Execution (Cont'd)

- Mid year review
  - Direct budget fire hose analyses
- In order to ensure efficient use of funds-commitments, official obligations, and expenditures are all compared to DOD standards to ensure over commitments and under obligations/expenditures are addressed
- Colored disks in the EIS highlight program financial performance status.